

The most advanced platform for automating the end-to-end process of Bank Network Management – for both Network Managers & Agent Banks

Bank Network Managers with the responsibility of monitoring the risk of hundreds of Agent banks, recognize the urgent need for automating the process to improve efficiency and strengthen risk management. The current manual and fragmented process is highly inefficient and tedious for both custody managers and Agent banks.

CENTRL is the first multi-party network platform that automates the complete Due Diligence Questionnaire (DDQ) based diligence process and provides superior analytics and risk insights through rules driven algorithms and normalizing unstructured data from DDQs. It also provides powerful capabilities for Agent Banks to respond to DDQs and manage the process.

Key Features

Automate End-To-End DDQ Process

- ✓ Use standard DDQ templates from AFME, Wolfsberg & others available in CENTRL library, or upload your own
- ✓ Schedule recurring DDQs and automate publishing
- ✓ Conduct follow-ups & clarifications in application
- ✓ Delegate tasks to internal departments for evaluation
- ✓ Set acceptable answer & automate scoring
- ✓ Focus on exceptions for expedited evaluation
- ✓ Produce reports by automatically extracting data from DDQs

Centralize Agent Bank Database, Documents, DDQs & Communications

- ✓ Extract & normalize data from DDQs and populate agent database
- ✓ Centralize all agent documents in a single library
- ✓ Powerful search capabilities - including within documents
- ✓ Internal and external collaboration with audit trail for all communication
- ✓ 360 degree view at agent bank level

Tracking Issues & Remediation

- ✓ Identify Issues & gaps from each DDQ
- ✓ Track Issues by severity, DDQ, Agent bank and other criteria
- ✓ Publish Issues to agent bank and interact with them on updates
- ✓ Collaborate internally with multiple departments at issue level
- ✓ Create action plans and manage Issue resolution from start to finish

Gain Insights via Dynamic Dashboards & Detailed Analytics

- ✓ Create Risk Scorecards for agent bank and at Account level as needed
- ✓ View dashboards to track progress of DDQs, Issues and Assignments
- ✓ Dashboards offer drill down and powerful filtering capabilities
- ✓ Analyze benchmarks at detailed level across portfolio
- ✓ Run cross-bank comparisons and time-series reports

Complete Platform For Agent Banks To Respond & Manage DDQ Process

- ✓ Agent banks get consolidated view of all incoming DDQs
- ✓ Agent banks can delegate DDQ questions within their organization, upload documents & respond to clarifications
- ✓ Agent banks get single file library of published documents with audit trail
- ✓ Agent banks can publish pre-filled standard DDQs and documents to Network managers
- ✓ Agent banks can track upcoming DDQs

Achieve Control with Enterprise Grade Configurability & Integrations

- ✓ Segregate user roles by function, business, geography etc.
- ✓ Set granular permissions for each role
- ✓ See audit trail for all actions
- ✓ Add custom attributes and report formats
- ✓ Rapid integration with enterprise systems via APIs

Key Features, Continued

Monitor and Profile Risk Continuously

- ✓ Conduct on-going assessments
- ✓ Pre-populate with last-year's answers – only review “what has changed” *
- ✓ Automate evaluation process – only focus on exceptions
- ✓ View compliance and performance documents on continuous basis
- ✓ Quickly identify and monitor changes in a timely manner

- Strengthen Risk Management within your Bank Network
- Centralize Agent Bank Database, Documents, DDQs & Communications
- Streamline the DDQ Process
- Stay Ahead of Compliance Mandates with Continuous agent bank Monitoring



Why CENTRL?

Highly Intuitive & Flexible Platform

Deep Automation Across All Modules

Dynamic & Configurable Dashboards

Multi-party Network For Custodians, Agents & Others

Rapid Implementation & Integration With Open APIs

Enterprise Grade Security & Scalability

The screenshot shows a user interface for an assessment titled "AFME - Due Diligence Ques...". It includes a progress bar, filters, and a list of questions. The selected question is 1.3.6, which asks for confirmation of criteria for compliance with the current US Investment Company Act 1940, Rule 17(f)5. The user has provided an answer: "Eligible Foreign Custodian - an entity incorporated or organized under the laws of a country, other than the US and that is a Qualified Foreign Bank or a majority owned direct or indirect subsidiary of a U.S. Bank or bank holding company." The interface also shows an evaluation section with a "Mark Answer as:" dropdown set to "Good" and a "Submit Clarifications (0)" button.

For more information visit oncentrl.com/bank-network-management

Schedule a demo at oncentrl.com or 877.367.9094